



Express Mail No.: EL 501 638 155 US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application of: Pramod K. Srivastava

Application No.: 09/750,972

Group Art Unit: Not yet assigned

Filed: December 28, 2000

Examiner: Not yet assigned

For: ALPHA (2) MACROGLOBULIN RECEPTOR  
AS A HEAT SHOCK PROTEIN RECEPTOR  
AND USES THEREOF

Attorney Docket No.: 8449-134

**REQUEST FOR REFUND PURSUANT TO 37 C.F.R. § 1.28(a)**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Attention: Refund Section, Accounting Division

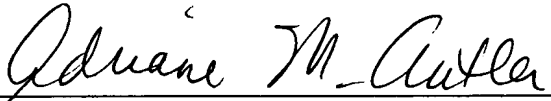
Sir:

A filing fee in excess of the required fee has been paid in connection with the subject patent application. In particular, a fee of \$4,788.00 has been timely paid from Pennie & Edmonds LLP Deposit Account No. 16-1150, of which \$2394.00 is in excess of the amount now required. Pursuant to 37 C.F.R. § 1.28(a), Applicant requests a refund of the excess amount within three months of its timely payment.

Accordingly, please refund the excess by crediting \$2394.00 to Deposit Account No. 16-1150. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Date: March 19, 2001

  
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Express Mail No. EL 501 638 155 US First Class Mail ( )  
Date Mailed: March 19, 2001  
Application No.: 09/750,972 Filed: December 28, 2000  
Applicant: Pramod K. Srivastava

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USES THEREOF

**The stamp of the Patent Office hereon may be taken as an acknowledgment of the date  
stamped of the following:**

- (X) Transmittal Of Declaration, Power of Attorney, Verified Statements And Request To Establish  
Small Entity, And Request For Refund
- (X) Declaration For Non-Provisional Patent Application
- (X) Power Of Attorney By Assignee And Exclusion Of Inventor(s) Under 37 C.F.R. 3.71
- (X) Verified Statement (Declaration) Claiming Small Entity Status Under 37 C.F.R. 1.27(a)(2) -  
Antigenics, LLC
- (X) Verified Statement (Declaration) Claiming Small Entity Status Under 37 C.F.R. 1.27(a)(2) -  
University of Connecticut Health Center
- (X) Request For Refund Pursuant To 37 C.F.R. 128(a)
- (X) Copy of Assignment

Other: \_\_\_\_\_

File No. 8449-134 Sender: AMA/EXF/SSB

